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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/824,954	04/14/2004	Stephen M. Drummond	CF-77

1473
 FISH & NEAVE
 1251 AVENUE OF THE AMERICAS
 50TH FLOOR
 NEW YORK, NY 10020-1105

CONFIRMATION NO. 7464

FORMALITIES LETTER



OC000000013084377

Date Mailed: 06/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121 are required. The drawings submitted are not acceptable because:
 - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 7.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$130** for a Large Entity

- **\$130** Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts

08/13/2004 FFAAIA2 00000012 10824954

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A copy of this notice MUST be returned with the reply.

HN

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PATENTS
CF-77

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicant : Stephen M. Drummond
Application No. : 10/824,954 Confirmation No. : 7464
Filed : April 14, 2004
For : SYSTEMS AND METHODS FOR TRADING EMISSION
REDUCTION BENEFITS
Group Art Unit : 3624
Examiner : Not yet assigned

New York, New York 10020
August 10, 2004

Mail Stop MISSING PARTS
Hon. Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

RESPONSE TO NOTICE TO FILE MISSING PARTS

Sir:

Responsive to the June 28, 2004 Notice to File
Missing Parts of Nonprovisional Application for the above-
identified patent application, applicants hereby submit the
following:

1. A copy of the June 28, 2004 Notice to File
Missing Parts;
2. A Declaration and Power of Attorney executed
by inventor Stephen M. Drummond;
3. Replacement drawings in compliance with
37 C.F.R. 1.84 and 1.121; and

4. A check in the amount of \$130.00 in payment of the surcharge.

The Director is hereby authorized to charge any additional surcharge or other fee due, or to credit any overpayment, in connection with this Submission, to Deposit Account No. 06-1075. A duplicate copy of this Submission is enclosed herewith.

Respectfully submitted,

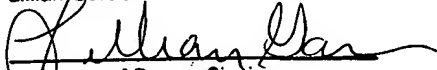


Philip R. Boh
Reg. No. 51,176
Agent for Applicant
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1105
Tel.: (212) 596-9000

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P.O. Box 1450
Alexandria, VA 22313-1450 on

August 10, 2004

Lillian Garcia


Signature of Person Signing



Docket No. CF-77

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen M. Drummond
Application No. : 10/824,954 Confirmation No. : 7464
Filed : April 14, 2004
For : SYSTEMS AND METHODS FOR TRADING
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Group Art Unit : 3624
Examiner : Not yet assigned
Hon. Commissioner for Patents
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SUBMISSION OF FORMAL DRAWINGS

Sir:

Please substitute the enclosed nine (9) sheets of formal drawings (Figs. 1-9) for the informal drawings filed with this application.

Respectfully submitted,

Philip R. Poh
Reg. No. 51,176
Agent for Applicant
FISH & NEAVE
Customer No. 1473
1251 Avenue of the Americas
New York, New York 10020-1104
Tel.: (212) 596-9000
Fax: (212) 596-9090

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Lillian Garcia

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